

Atty. Docket No. TEK01 P-333

CERTIFICATE OF MAILING

I hereby certify that this paper, together with all enclosures identified herein, are being deposited with the United States Postal Service as first class mail, addressed to the Assistant Commissioner for Patents, Washington D.C. 20231, on the date indicated below.

11/30/01
Date

Debbie Mohr

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : William E. Richeson
For : **MAGNETIC BRAKE ASSEMBLY**
Serial No. : 09/964,086
Filing Date : September 26, 2001
Art Unit : 3613
Confirmation No.: 2451

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

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INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §1.97(b)

Pursuant to 37 CFR §§1.56 and 1.97(b), Applicant brings to the attention of the Examiner the documents listed on the attached Form PTO-1449. This Information Disclosure Statement is being filed within three months of the filing date of the above-referenced application.

Applicant respectfully requests that the Examiner consider the listed documents and evidence that consideration by making appropriate notations on the attached form.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If it should be determined that any of the listed documents do not constitute "prior art" under United States law, Applicants reserves the right to present to the Office the relevant facts and law regarding the appropriate status of such documents.

Applicant further reserves the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

Applicant : William E. Richeson
For : 09/964,086
Page : 2

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 16-2463.

Respectfully submitted,

WILLIAM E. RICHESON

By: Price, Heneveld, Cooper,
DeWitt & Litton

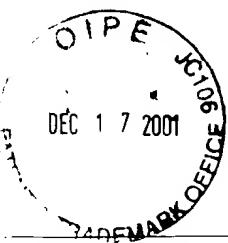
November 30, 2001

Date



Eugene J. Rath III
Registration No. 42 094
695 Kenmoor, S.E.
Post Office Box 2567
Grand Rapids, MI 49501
(616) 949-9610

EJR/dsm



FORM PTO-1449
(Rev. 2-32)

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.
TEK01 P-333

SERIAL NO.
09/964,086

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT
(Use several sheets if necessary)

APPLICANT
WILLIAM E. RICHESON

FILING DATE
09/26/01

GROUP

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5 9 3 2 1 3 4	8/3/99	Christ et al.			
	5 8 4 2 5 4 7	12/1/98	Carlson et al.			
	5 7 9 2 2 8 4	8/11/98	Cedell et al.			
	5 7 9 1 4 4 2	8/11/98	Arnold			
	5 7 6 7 4 2 6	6/16/98	Oliver et al.			
	5 5 9 5 6 0 9	1/21/97	Gay			
	5 5 9 1 3 7 3	1/7/97	Ward et al.			
	5 5 8 2 2 7 5	12/10/96	Arnold			
	5 5 6 3 0 0 1	10/8/96	Gay			
	5 5 4 3 1 7 4	8/6/96	Rutz			
	5 5 0 1 7 2 8	3/26/96	Shepley et al.			
	5 4 7 2 5 3 9	12/5/95	Saia et al.			
	5 4 3 5 9 2 9	7/25/95	Tada et al.			
	5 3 9 3 4 4 5	2/28/95	Furuya et al.			
	5 3 3 7 8 6 2	8/16/94	Kuwahara			

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FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
						YES NO

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

(Form PTO-1449 [6-4])



Sheet 1 of

FORM PTO-1449
(Rev. 2-32)

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

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U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5 3 0 6 5 2 4	4/26/94	Rutz et al.			
	5 3 0 3 8 0 2	4/19/94	Kuwahara			
	5 3 0 0 1 5 6	4/5/94	Mohri et al.			
	5 2 7 9 7 8 5	1/18/94	Mohri et al.			
	5 2 7 1 8 9 1	12/21/93	Gay et al.			
	5 2 6 8 1 4 0	12/7/93	Rutz et al.			
	5 2 4 0 6 2 7	8/31/93	Mohri et al.			
	5 2 2 5 4 5 9	7/6/93	Oliver et al.			
	5 2 1 1 8 9 6	5/18/93	Ward et al.			
	5 1 9 8 1 3 7	3/30/93	Rutz et al.			
	5 1 8 9 3 2 4	2/23/93	Beck et al.			
	5 1 8 6 2 8 6	2/16/93	Lindberg			
	5 1 6 7 9 1 5	12/1/92	Yamashita et al.			
	5 1 3 7 7 8 3	8/11/92	Tanihara et al.			
	5 0 6 2 9 8 1	11/5/91	Nomura et al.			
	4 9 7 5 2 1 3	12/4/90	Sakai et al.			

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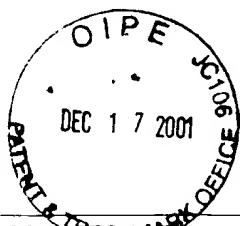
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(Form PTO-1449 [6-4])



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								TEK01 P-333	09/964,086
								APPLICANT	WILLIAM E. RICHESON
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)							09/26/01		

U. S. PATENT DOCUMENTS

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	4	7	8	3	5	0	4	10/10/89	Blume, Jr. et al.				
	4	8	3	2	8	9	1	4/23/89	Kass				
	4	8	2	0	3	3	8	4/11/89	Ochiai et al.				
	4	7	1	8	5	2	3	1/12/88	Schneider, Rudolf et al.				
	4	6	0	1	7	6	5	7/22/86	Soileau et al.				
	4	6	0	1	7	5	3	7/22/86	Soileau et al.				
	4	5	5	8	0	7	7	12/10/85	Gray				
	4	3	8	6	6	8	4	6/7/83	Prokop				
	4	3	4	4	0	5	6	8/10/82	Kroeger et al.				
	4	2	1	6	8	4	9	8/12/80	Neill				
	4	0	1	4	4	1	2	3/29/77	Swanson et al.				
	3	7	5	6	3	5	5	9/4/73	Kreider				
	3	6	6	5	2	3	1	5/23/72	Wendler				
	3	2	4	4	2	5	9	1/31/64	A. Brede III, et al.				

FOREIGN PATENT DOCUMENTS

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